

REHABILITATION USE ONLY

## SETTLEMENT OF PROSPECTIVE VOCATIONAL REHABILITATION SERVICES [LC § 4646 (b)]

Social Security No:	Claim Number:	WCAB Case No. (if any):	RU Case No. (if any):
Employee Name (Last)	(First)	(MI)	Date of Birth
Address (Street)	(City)	(State)	(Zip Code)

Date of Injury	If Self Insured, Certificate Name or Insurer Name
Employer Name	Adjusting Agency Name (if adjusted)
Employer Address	Claims Mailing Address
City, State, Zip Code	City, State, Zip Code
Employee's Attorney	Employer's Representative
Firm Name	Firm Name
Address	Address
City, State, Zip Code                      Phone No.	City, State, Zip Code                      Phone No.
Qualified Rehabilitation Representative (if any)	
Firm Name	
Address	
City, State, Zip Code                      Phone No.	

**In accordance with Labor Code 4646:**

- 1. The parties to this agreement are the employee \_\_\_\_\_ and the employer or claims administrator \_\_\_\_\_**
- 2. All parties agree that any vocational rehabilitation benefits paid and accrued prior to the date this agreement has been signed are separate and distinct funds from the amount settled in this agreement.**

3. The parties hereby agree to settle the employee's right to prospective Vocational Rehabilitation services with a one-time payment to the employee for the sum of \$ \_\_\_\_\_, less the sum of \$ \_\_\_\_\_, as reasonable attorney's fee. The requested attorney's fee will be held in trust by the employer subject to approval and subsequent order by the Workers' Compensation Appeals Board.

4. The employee's attorney has fully disclosed and explained to the employee the nature and quality of the rights and privileges being waived and settled by the parties. The employee has knowingly and voluntarily agreed to relinquish his or her rehabilitation rights.

Employee's signature \_\_\_\_\_ Date \_\_\_\_\_

Employee's Attorney's signature \_\_\_\_\_ Date \_\_\_\_\_

Qualified Interpreter's signature \_\_\_\_\_ Date \_\_\_\_\_  
(if needed)

5. The employee understands and agrees that the settlement is to be applied to his/her self-directed vocational rehabilitation, such as direct placement, training, self-employment.

Signatures

Employee \_\_\_\_\_ Date \_\_\_\_\_

Employee's Attorney \_\_\_\_\_ Date \_\_\_\_\_

Employer's Representative \_\_\_\_\_ Date \_\_\_\_\_

Determination of the Rehabilitation Unit

The Rehabilitation Unit has reviewed this Settlement Agreement pursuant to Labor Code § 4646 (b) and (c). The Rehabilitation Unit, hereby, **approves** this Settlement Agreement.

Rehabilitation Unit Consultant \_\_\_\_\_ Date \_\_\_\_\_

OR

The Rehabilitation Unit has reviewed the Settlement Agreement pursuant to Labor Code § 4646 (b) and it is, hereby, **disapproved**. Reason for Disapproval: \_\_\_\_\_  
\_\_\_\_\_

Rehabilitation Unit Consultant \_\_\_\_\_ Date \_\_\_\_\_

The Rehabilitation Unit shall approve or disapprove the settlement agreement of vocational rehabilitation. If disapproval is not made within ten (10) days of receipt of a fully executed agreement, the agreement shall be deemed approved.

This Agreement is Final. Any aggrieved party must file an appeal with the Workers' Compensation Appeals Board within twenty (20) days from the date this Agreement is approved, deemed approved or disapproved.

**If Vocational Rehabilitation Services were commenced:**

**Summary of Services Provided**

Number of weeks of VRMA: \_\_\_\_\_

Total Amount VRMA Paid: \$ \_\_\_\_\_

Total Amount of PD Supplement: \$ \_\_\_\_\_

Amount Paid QRR for: \_\_\_\_\_

DOI'S on or after 1/1/03

Phase A- \$ \_\_\_\_\_

Phase B- \$ \_\_\_\_\_

Total costs of QRR services \$ \_\_\_\_\_

QRR Name \_\_\_\_\_

Total other costs of rehabilitation services: \$ \_\_\_\_\_

Amount withheld for Employee's Representative, if any: \$ \_\_\_\_\_

**If plan developed, plan type:** \_\_\_\_\_

Completed by: \_\_\_\_\_

Date: \_\_\_\_\_

**Rehabilitation Unit  
California Division of Workers' Compensation**

**Form RU-122**

**SETTLEMENT OF PROSPECTIVE VOCATIONAL  
REHABILITATION SERVICES**

**Purpose:**

To record the agreement between the employee and the employer to settle prospective vocational rehabilitation services for injuries on or after 1/1/03.

**Submitted by:**

Any party.

**When Submitted:**

When the parties have agreed to settle prospective vocational rehabilitation services.

**Where Submitted:**

To the applicable Rehabilitation Unit district office. The Rehabilitation Unit's venue is the same as the WCAB. If no WCAB case exists, file with a Rehabilitation Unit within the county where the injured employee resides.

**Form Completion:**

Identifying data completed by claims administrator  
Signature of employee, employee's representative and claims administrator

**Accompanying documents:**

None.

**Rehabilitation Unit Action:**

The Rehabilitation Unit shall either issue a determination based on the record, request additional information, or set the matter for formal conference.

**Copy:**

All parties